

40/587355

**IAP5 Rec'd PCT/PTO 26 JUL 2006**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Ryuichiro TAKAMATSU et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006\_1187A  
Filed July 26, 2006 :

PRINTING APPARATUS, AND FIRMWARE  
UPDATING METHOD THEREFOR  
[Corresponding to PCT/JP2005/009930  
Filed May 31, 2005]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE FEE ONLY IN THE  
FEE ACCOUNT NO. 28-0875  
ACCOUNT NO. 28-0875

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_;  
and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, **or**

ATTACHMENT "D"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at page 2,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- EP 1 491992, WO 03/83639 and U.S. Patent Publication Application No. 2005/0158100 correspond to JP 2004-5454; and**
- U.S. Patent Publication Application No. 2004/0062166 corresponds to JP 2004-127386.**
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Ryuichiro TAKAMATSU et al.

By 

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July 26, 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: July 26, 2006

ATTY DOCKET NO.  
2006\_1187ASERIAL NO.  
NEWAPPLICANT  
Ryuichiro TAKAMATSU et al.FILING DATE  
July 26, 2006

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2005/0158100	7/2005	Yamaguchi et al.			
	AB	2004/0062166	4/2004	Tanimukai et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	2004-5454	1/2004	JP			Abstract	
	AK	1 491 992	12/2004	EP				
	AL	03/083639	10/2003	WO				
	AM	10-289101	10/1998	JP			Abstract	
	AN	2004-127386	4/2004	JP			Abstract	

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED